PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Michiaki TAKAGI et al.

Application No.: New U.S. Application

Filed: October 7, 2003 Docket No.: 116990

For: SURFACE ACOUSTIC WAVE RESONATOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.

☒ 2. Relevance of the non-English language references 4 and 5 is discussed in the present specification.

☒ 3. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 C.F.R. §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.

☒ 4. English-language Abstracts of the non-English language references 4 and 5 are attached hereto.

☒ 5. A computer-generated English translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office ([http://www.jpo.go.jp]), and is attached, but has not been reviewed for accuracy. See Reference 4.

Respectfully submitted,

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Date: October 7, 2003

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DEPOSIT ACCOUNT USE AUTHORIZATION
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Form PTO-1449 (REV. 8-83)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. 116990	APPLICATION NO. New U.S. Application
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT(S) Michiaki TAKAGI et al.	
		FILING DATE October 7, 2003	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1.	5,568,001	10/22/1996	DAVENPORT		
	2.	5,895,996	4/20/1999	TAKAGI et al.		
	3.	US 6,335,667 B1	1/1/2002	TAKAGI et al.		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
	4.	JP A 10-335966 w/abstract & transl.	12/18/1998	JAPAN		
	5.	JP A 57-73513 w/abstract	5/8/1982	JAPAN		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: October 7, 2003